PTO/SB/21 (08-00 easetype a plus sign (+) inside this box -> + Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/851,619 **TRANSMITTAL** Filing Date May 9, 2001 **FORM** First Named Inventor N. Convers WYETH, et al. (to be used for all correspondence after initial filing) Group Art Unit 2832 **Examiner Name** Lincoln D. DONOVAN Total Number of Pages in This Submission Attorney Docket Number SAIC0042-US ENCLOSURES (check all that apply) ___ Assignment Papers After Allowance Communication to Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Petition to Convert to a Affidavits/declaration(s) Status Letter **Provisional Application** Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): **Amendment Transmittal Letter** Terminal Disclaimer Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Individual name Signature

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

at this correspondence is being deposited with the United States Postal Service as first class mail in an envelope

Date

CERTIFICATE O

addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Date

Typed or printed name

Signature

use through 10/31/2002. OMB 0651-0032

Approve

U.S. Patent and Tradema

WAY 2 3 2000 er the Paperwork Reduction Act of 1995, he persons are required to respond to a collection of information units of the paperwork Reduction Act of 1995, he persons are required to respond to a collection of information units of the paperwork Reduction Act of 1995, he persons are required to respond to a collection of information units of the paperwork Reduction Act of 1995, he persons are required to respond to a collection of information units of the paperwork Reduction Act of 1995, he persons are required to respond to a collection of information units of the paperwork Reduction Act of 1995, he persons are required to respond to a collection of information units of the paperwork Reduction Act of 1995, he persons are required to respond to a collection of information units of the paperwork Reduction Act of 1995, he persons are required to respond to a collection of information units of the paperwork Reduction Act of 1995, he persons are required to respond to a collection of information units of the paperwork Reduction Act of 1995, he persons are required to respond to a collection of information units of the paperwork Reduction Act of 1995, he persons are required to respond to a collection of the paperwork Reduction Act of 1995, he persons are required to respond to a collection of the paperwork Reduction Act of 1995, he persons are required to respond to a collection of the paperwork Reduction Act of 1995, he persons are required to respond to a collection of the paperwork Reduction Act of 1995, he persons are required to respond to a collection of the paperwork Reduction Act of 1995, he persons are required to respond to a collection of the paperwork Reduction Act of 1995, he persons are required to respond to a collection of the paperwork Reduction Act of 1995, he persons are required to the paperwork Reduction Act of 1995, he persons are required to the paperwork Reduction Act of 1995, he persons are required to the paperwork Reduction Act of 1995, he persons ce: U.S. DEPARTMENT OF COMMERCE it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

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| Complete if Known | | | | | |
|----------------------|--------------------------|--|--|--|--|
| Application Number | 09/851,619 | | | | |
| Filing Date | May 9, 2001 | | | | |
| First Named Inventor | N. Convers WYETH, et al. | | | | |
| Examiner Name | Lincoln D. DONOVAN | | | | |
| Group / Art Unit | 2832 | | | | |
| Attorney Docket No. | SAIC0042-US | | | | |

| METHOD OF PAYMENT (check all that apply) | | | | FEE CALCULATION (continued) | | | | | | |
|--|---|-----------------------------|---|-----------------------------|----------------|-------------|--|---------------|--|--|
| | | | FEE CALCULATION (continued) 3. ADDITIONAL FEES | | | | | | | |
| ⊠ Check ☐ Credit card ☐ Money ☐ Other ☐ None ☐ Order ☐ Order ☐ Other ☐ None ☐ Order ☐ Other ☐ None ☐ None | | | Large | Entity | Small | Entitu | | | | |
| ☑ Deposit Account: | | | | | | Citity | | | | |
| Deposit | | | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid | | |
| Account | 501458 | | 1051 1052 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | | | |
| | Number | | | | | 25 | Surcharge - late provisional filing fee or cover sheet. | | | |
| Deposit Account | KII DATRICK OTO OLTON | | 1053 | 130 | 1053 | 130 | Non-English specification | | | |
| Name | KILPATRICK STOCKTON LI | P | 1812 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | | | |
| The Commissioner is authorized to: (check all that apply) | | | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to | | | |
| ■ Li Charge fe | e(s) indicated below 🔯 Credit : | any overnavmente | 1805 | 4 0 404 | | | Examiner action | | | |
| I ⊠ Charge ar | y additional fee(s) during the pe e(s) indicated below, except for | ndency of this application | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | | | |
| to the above- | dentified deposit account. | the filing fee | 1251 | 110 | 2251 | 55 | Extension for reply within first month | | | |
| | FEE CALCULATION | ٧ | 1252 | 400 | 2252 | 200 | Extension for reply within second month | | | |
| 1. BASI | FILING FEE | | 1253 | 920 | 2253 | 460 | Extension for reply within third month | | | |
| Large Entity Fee Fee | Small Entity Fee Fee Fee Descrip | lion | 1254 | 1,440 | 2254 | 720 | Extension for reply within fourth month | | | |
| Code (\$) | Code (\$) | Fee Paid | 1255 | 1,960 | 2255 | 980 | Extension for reply within fifth month | \vdash | | |
| 1001 740 | 2001 370 Utility filing fe | | 1401 | 320 | 2401 | 160 | Notice of Appeal | | | |
| 1002 330 | 2002 165 Design filing | ee | 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | | | |
| 1003 510 | 2003 255 Plant filing fee | • | 1403 | 280 | 2403 | 140 | Request for oral hearing | | | |
| 1004 740 1005 160 | 2004 370 Reissue filing 2005 80 Provisional fil | | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | | | |
| 1,00 | 2555 66 Provisional III | ling ree | 1452 | 110 | 2452 | 55 | Petition to revive – unavoidable | \vdash | | |
| | SUBTOTAL (1) | (\$) 0 | 1453 | 1,280 | 2453 | 640 | Petition to revive – unintention | | | |
| 2. EXTRA CL | AIM EEEC | | 1501 | 1,280 | 2501 | 640 | Utility issue fee (or reissue) | | | |
| Z. EXTRACE | Extra | F 6 | 1502 | 460 | 2502 | 230 | Design issue fee | | | |
| | Claims | Fee from Fee below Paid | 1503 | 620 | 2503 | 310 | Plant issue fee | | | |
| Total Claims | -20 ** = 0 X | | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | | | |
| Independent Claims | -3** = 0 x | | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR (::)7 (q) | | | |
| Claims Multiple | -3 ** = 0 X | = 0 | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure | , X | | |
| Dependent | Х | = 0 | 8021 | 40 | 0004 | 40 | Recording each patent assignment | | | |
| Large Entity | Small Entity | | 0021 | 40 | 8021 | 40 | per property (times number of properties) | | | |
| Fee Fe Code (\$) | Fee Fee Fee Desc | ription | 1809 | 740 | 2809 | 370 | Filing a submission after final election | | | |
| 1202 18 | 1 1' | excess of 20 | 1810 | 740 | 2810 | 370 | (37 CFR § 1.129(a)) | <u> </u> | | |
| 1201 84 | | ent claims in excess of 3 | | | 20.0 | J, J | For each additional invention to be examined (37 CFR § 1.129(b)) | | | |
| 1203 28 | ** Poisson | ependent claim, if not paid | 1801 | 740 | 2801 | 370 F | Request for Continued Examination (RCE) | | | |
| 1204 84 | 84 2204 42 ** Reissue independent claims over original patent 1 | | | 1802 900 | | | Request for expedited examination | ├ ─┤┃ | | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | | | | | 1802 | | of a design application | | | |
| | SUBTOTAL (2) | (\$) 0 | Other fe | e (specify |) <u>Amend</u> | ment - C | One Extra Dependent Claim | 18.00 | | |
| | | (4, 5 | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) | | | | | <u> </u> | | |
| **or number p | reviously paid, if greater: For Re | issues, see ahove | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | - vy Das | se rang | i de Mai | d SUBTOTAL (3) (\$) 18 | | | |
| | 7 F = 1-1 11 B1 = 41.01 01 11C | occaso, see above | | | | | | | | |

| SUBMITTED BY | | | 7 | | Cor | mplete (if applicable) |
|-------------------|----------|-------|----------------------------------|--------|----------|------------------------|
| Name (Print/Type) | George . | arcon | Registration No. Attorney/Agent) | 33,014 | Telephor | 202 508 5800 |
| Signature | | | | | ate 2 | 3/03 |

WARNING: Information openis form may become public. Credit card information should not be included on this from rovide credit card information and authorization on PTO-2038.

This collection of information is required by R 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

| The sound of the s | | | | | | | | | |
|--|---|--|----------|---|----------------------------|------------------------------|---------------------------------------|---|--|
| AMENDMENT TRANSMITTAL LETTER | | | | Docket Number: SAIC0042-US | | | | | |
| Application Number 09/851,619 | Filing Date May 9, 2001 | | | Examine Lincoln D | r). Donovan | | Art Unit 2832 | | |
| Invention Title: PHASE CHANGE SW COUPLING TO ELECTROMAGNETIC WA CHANGE SWITCHES | L VITCHES AND CII VES CONTAININ | RCUITS IG PHASI | 7 | Inventor(N. Conve | s) rs WYETH | H, et al. | | | |
| I. The filing fee has been calculated as show | /n below: | | | | | | | | |
| | CLAIMS REMAINING AFTER AMENDMENT | | NI PF | IGHEST UMBER REVIOUSLY AID FOR | PRESENT NUMBER EXTRA | RATE (\$) FEE | |) | |
| TOTAL CLAIMS | 35 | minus | 34 | | 1 | 18.00 | 18.00 | | |
| INDEPENDENT CLAIMS | 3 minu | | 3 | | 0 | 84.00 | 0.00 | | |
| MULTIPLE DEPENDENT CLAIM ADDED | | | | | | 280.00 | 00.00 | | |
| | | | | | | TOTAL | 18.00 | | |
| If applicant is a small entity u and 1.27, then divide total fee | nder 37 C.F.R. §§ 1.9 by 2, and enter amour | nt here. | | | SM/ | ALL ENTITY TOTAL | n/a | | |
| II. The Commissioner is hereby authorized to che pendency of this application, or III. Applicants' undersigned attorney may be read to continue to be a continu | to credit any overpaym ached by telephone in (202) 5 | nent, to Dep our Washin 508-5800 | egton, | Account No 5014 | 158. A duplicate | COPY OF THE COPY CENTER 2800 | e entire et is enclose THAY 29 2003 | ĭ | |



SAIC0042-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: N. Convers Wyeth, et al

Group Art Unit: 2832

Serial No.:

09/851,619

Examiner: Donovan, Lincoln D.

Filed:

05/09/2001

For:

PHASE CHANGE SWITCHES AND CIRCUITS COUPLING TO RECENED HAY 29 2003 HAY 29 CENTER 28C

ELECTROMAGNETIC WAVES CONTAINING PHASE

CHANGE SWITCHES

Commissioner for Patents Post Office Box 1450 Alexandria, Virginia 22313-1450

RESPONSE TO OFFICE ACTION

Sir:

Responsive to the Office Action dated February 25, 2003, please amend the above-identified application as follows:

In the Claims:

- 1. (Withdrawn/non-elected) A switch for use in circuits which interact with electromagnetic radiation, comprising:
 - at least one switch comprised of:
 - a substrate for supporting components of the switch,
- a first conductive element on said substrate for connection to a first component of said circuit,
- a second conductive element on said substrate for connection to a second component of said circuit, and
- a switch element made up of a switching material on said substrate, and connecting the first conductive element to the second conductive element, said switching material comprised of a compound which exhibits a bi-stable phase behavior, and switchable between a first impedance state value and a second impedance state value by application of energy thereto, affecting current flow between

05/27/2003 SDENBOB1 00000137 09851619

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